

**Protocol for the External Quality Assessment (EQA) exercise for
laboratories participating in the European Antimicrobial Resistance
Surveillance Network (EARS-Net), 2023**

07 June 2023

INDEX

1	INTRODUCTION.....	3
2	SCOPE AND OBJECTIVES.....	3
3	ELIGIBILITY CRITERIA FOR PARTICIPATION.....	3
4	OUTLINE OF THE EQA 2023	4
	4.1 Overview of the 2023 EARS-Net EQA process.....	4
	4.2 Shipping, receipt, and initial processing of strains.....	4
	4.3 Safety instructions	5
	4.4 Recommendation for storage of strains	6
	4.5 Antimicrobial susceptibility testing	6
5	INCLUDED ANTIMICROBIAL AGENTS AND NOTES FOR REPORTING.....	7
6	REPORTING OF RESULTS.....	9
7	HOW TO SUBMIT RESULTS VIA THE WEBTOOL.....	9
	7.1 Login to the webtool	9
	7.2 Submitting results in the webtool	9
8	EVALUATION OF SUBMITTED EQA RESULTS.....	10
	8.1 Scoring system	10
	8.2 Laboratory feedback reports.....	11
	8.3 Data sharing.....	11
9	CONTACT	11
	ANNEX 1 - ANTIMICROBIALS INCLUDED IN THE 2023 EARS-NET EQA	12

1 INTRODUCTION

Since 2005, the European Antimicrobial Resistance Surveillance Network (EARS-Net) has provided analyses of trends in antimicrobial resistance over time and between all European Union (EU) Member States and two European Economic Area (EEA) countries (Iceland and Norway). Data are based on routine antimicrobial susceptibility testing (AST) results collected from a network of clinical laboratories. At present, the pathogens included in the surveillance network are *Streptococcus pneumoniae*, *Staphylococcus aureus*, *Enterococcus faecalis*, *Enterococcus faecium*, *Escherichia coli*, *Klebsiella pneumoniae*, *Pseudomonas aeruginosa* and *Acinetobacter* spp. EARS-Net is coordinated by the European Centre for Disease Prevention and Control (ECDC).

Participation in External Quality Assessment (EQA) exercises promotes production of reliable laboratory results and compliance with ISO 15189:2022 (Medical laboratories — Requirements for quality and competence) or ISO 17025:2017 (General requirements for the competence of testing and calibration laboratories) and provides important information on performance and comparability of the reported test results between participating laboratories and countries.

2 SCOPE AND OBJECTIVES

The scope of the EARS-Net EQA exercise is to provide external quality assessment of AST for the microorganisms included in EARS-Net surveillance to all clinical laboratories that have or intend to participate in EARS-Net surveillance.

The overall objectives are to assess the accuracy of AST results reported by participating individual clinical laboratories, and to evaluate the overall comparability of test results between laboratories and EU/EEA Member States.

The EARS-Net annual EQA exercises provide important information on the accuracy of AST, and the comparability of the AST test results reported to EARS-Net, by participating laboratories and countries. Therefore, the laboratory practices for this EARS-Net EQA should be the same as the AST method(s) routinely used in the participating laboratory, i.e. automated systems, broth microdilution, disk/tablet diffusion, gradient diffusion, or other method(s).

3 ELIGIBILITY CRITERIA FOR PARTICIPATION

Laboratories are eligible to participate in the 2023 EARS-Net EQA exercise if they provide data following the EUCAST guidelines, and they either reported annually to EARS-Net and/or they intend to report 2023 data to EARS-Net.

4 OUTLINE OF THE EQA 2023

4.1 Overview of the 2023 EARS-Net EQA process

The processes for the 2023 EARS-Net EQA exercise are the same as for the 2022 EARS-Net EQA exercise, overall¹.

In 2023, the EARS-Net EQA exercise will take place in June-August. Laboratories are requested to identify the species of the six provided strains, and to report AST results of the bacterial strains covered by the EARS-Net surveillance, using the routine method(s) in their settings, through a password-protected webtool. Results must be submitted no later than 4 August 2023.

After the submission deadline, The National Food Institute, Technical University of Denmark (DTU) validates all received data, with scores assigned to every submitted AST result according to the scoring system described in this protocol (see section 8). Participating laboratories will be informed by email when their evaluation report is available for download in the password-protected webtool.

Each year, the ECDC National Focal Point for Antimicrobial Resistance (AMR) in each EU/EEA country nominates a 'National EQA Coordinator' (NEC). NECs support coordination of the EARS-Net EQA in their country and receive all individual evaluation reports, for each participating laboratory in their country. Subsequently, NECs receive a national report that includes summary conclusions on the capacity of participating laboratories for AST, and, if relevant, recommendations for improvement. The Appendix to the national report includes the results from all participating laboratories.

In 2024, ECDC will publish an annual report, prepared with DTU, summarising results from all participating laboratories (anonymized).

4.2 Shipping, receipt, and initial processing of strains

For the 2023 EARS-Net EQA, all participating laboratories will receive a parcel containing six swabs from the NEC. Each swab contains a pure culture of a bacterial isolate.

Please inspect packages for evidence of breakage and leakage. If this is evident, discard by autoclaving, and contact the DTU to request a replacement package and inform the NEC by email. Upon receipt of the parcel at the laboratory, open the parcel as soon as possible to confirm the contents. These are six swabs with different identification (2023 EARS-Net 1, 2023 EARS-Net 2, 2023 EARS-Net 3, 2023 EARS-Net 4, 2023 EARS-Net 5, 2023 EARS-Net 6).

Store the swabs in a dark place at 5°C to 25°C until microbiological analysis.

¹ https://antimicrobialresistance.dk/CustomerData/Files/Folders/12-ears-net/282_2022-ears-net-eqa-protocol.pdf

We suggest that you subculture and process the strains within 48 hours from receipt of the parcel. Subculture the test strains onto non-selective media, e.g. a nutrient agar plate or blood agar plate, as illustrated in Figure 1:

- 1) Inoculate it on one side of the agar plate using the swab to apply material gently and densely;
- 2) Turn the plate and use a sterile loop to streak once through the area first inoculated, and allow further streaks to separate the culture, aiming to obtain single colonies;
- 3) Turn the plate and use a sterile loop to streak once through the second area inoculated, and allow further streaks to separate the culture, aiming to obtain single colonies.

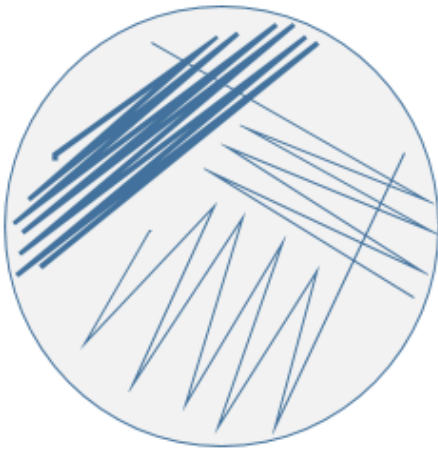


Figure 1: Plating of the test strains

4.3 Safety instructions

All strains used in this iteration of the EARS-Net EQA are categorized as UN3373, Biological substance, category B. The EQA strains could potentially pose a risk to humans due to their resistance profile and pose a challenge in the treatment of a potential human infection.

Note that it is the recipient laboratory's responsibility to comply with national regulations and guidelines regarding the correct handling of the provided bacterial cultures and to make use of the proper facilities, equipment, and protocols to handle these strains.

It is recommended to work with the strains in a BSL2 containment facility using equipment and operational practices for work involving infectious or potentially infectious materials and take the necessary precautions. Therefore, it is recommended to wear protective clothing such as lab coat as well as gloves when direct skin contact with infected material is unavoidable. Eye protection must be used where there is a known or potential risk of exposure to splashes. Moreover, all procedures that may produce aerosols, or involve high concentrations or large

volumes should be conducted in a biological safety cabinet. The use of needles, syringes, and other sharp objects should be strictly limited.

4.4 Recommendation for storage of strains

Kindly note, due to the Material Transfer Agreements (MTAs) between DTU and the original providers of the isolates:

1. It is recommended to store the strains in your strain collection (e.g. in a -80°C freezer), at least until you have reviewed your results from this EQA exercise. This will allow for repetition of species identification and AST, if needed, in light of your individual performance.
2. Strains received for the 2023 EARS-Net EQA cannot be re-distributed further by the recipient laboratories.
3. It is not possible for DTU or NECs to distribute strains to laboratories after the EQA exercise, e.g. for confirmatory, training, or reference purposes.

4.5 Antimicrobial susceptibility testing

4.5.1 Derivation of consensus results

As in 2022, in order to permit the scoring of AST results reported by participating laboratories (see Table 1) DTU and ECDC defined the expected AST results for each strain. These were the validated consensus AST results from four reference laboratories. The laboratories were DTU (performed in triplicate); the EUCAST Development Laboratory, Sweden; the Microbiological Diagnostic Unit Public Health Laboratory (MDU PHL), The Doherty Institute, Australia; and the Antimicrobial Resistance Research Center, National Institute of Infectious Diseases (NIID), Japan. All four reference laboratories used the same AST methodology. Specifically, the expected minimum inhibitory concentration (MIC) values for each antimicrobial and strain combination, and their respective interpretation, were determined by broth microdilution and use of EUCAST clinical breakpoints tables v13.0.

After the AST testing by the four reference laboratories, DTU performed whole-genome sequencing and bioinformatics analysis of each EQA strain to detect relevant acquired AMR genes and chromosomal point mutations.

During the preparation of the test swabs, DTU performed confirmatory phenotypic testing of the test strains by broth microdilution using Sensititre panels.

4.5.2 Instructions for participating laboratories

Participating laboratories should perform AST according to the laboratory's routine procedures, i.e. automated systems, broth microdilution, agar dilution, disk/tablet diffusion, gradient diffusion, or other methods.

Apply the most recent EUCAST clinical breakpoints (https://www.eucast.org/clinical_breakpoints/) for the interpretation of the obtained AST results. This allows for categorisation of the test results into three categories: resistant (R), susceptible, increased exposure (I), and susceptible, standard dosing regimen (S).

All isolates included in the 2023 EARS-Net EQA should be considered as being obtained from patients with a bloodstream infection.

Note that, if using gradient tests, the obtained MIC values might not refer directly to a two-fold dilution concentration. Hence, to ensure the correct evaluation of the obtained results, you are advised to round up the values to the nearest upper two-fold dilution value. For example, an obtained MIC of 0.75 mg/L should be reported as 1 mg/L.

5 INCLUDED ANTIMICROBIAL AGENTS AND NOTES FOR REPORTING

As in 2022, all organism-antimicrobial combinations under surveillance in EARS-Net are included in the EARS-Net EQA exercise. These organism-antimicrobial combinations are listed in Annex 1 of this document, which is adapted from Table 8 of the EARS-Net reporting protocol².

This list is more inclusive than the panel of antimicrobials likely to be tested by a clinical microbiological laboratory during normal clinical practice. Laboratories that do not test the full panel of antimicrobials are still eligible to participate in the 2023 EARS-Net EQA and can report partial data.

In this EQA, the organism-antimicrobial combinations are not ranked by their level of importance to clinical practice, because there are no definitive criteria for ranking that are appropriate or applicable for all countries that are eligible to participate in this EQA.

Furthermore, as in 2022, the 2023 EARS-Net EQA exercise permits laboratories to report AST results for organism-antimicrobial combinations that might not be directly reported by clinical laboratories during routine procedures. Table 1 provides the description of these situations.

² The EARS-Net reporting protocol is available from URL: <https://www.ecdc.europa.eu/en/publications-data/ears-net-reporting-protocol-2023>

Table 1. Notes for reporting AST results by eligible pathogen

Pathogen	Notes for reporting
<i>Enterococcus</i> spp.	<ul style="list-style-type: none"> - For penicillins (amoxicillin and ampicillin), assume that intravenous administration will take place. - Amoxicillin should be tested, regardless of results obtained for ampicillin. - For gentamicin, assume administration in combination with penicillins or glycopeptides. - For gentamicin, report isolates not presenting high-level aminoglycoside resistance (HLAR) as susceptible (S). Report isolates presenting HLAR as resistant (R).
<i>Escherichia coli</i> and <i>Klebsiella pneumoniae</i>	<ul style="list-style-type: none"> - For penicillins (amoxicillin and ampicillin), assume that intravenous administration will take place. - Amoxicillin should be tested, regardless of results obtained for ampicillin. - For colistin and aminoglycosides (amikacin, gentamicin and tobramycin), assume administration in combination with other agents. - Breakpoints currently based on ECOFF values can be used for interpretation of results, when applicable, if no other relevant EUCAST clinical breakpoints exist. - Norfloxacin is not included in this EQA, even though it is in the Table 8 of the EARS-Net reporting protocol, because the EUCAST clinical breakpoint (v13.0) is only applicable to uncomplicated urinary tract infections.
<i>Pseudomonas aeruginosa</i> and <i>Acinetobacter</i> spp.	<ul style="list-style-type: none"> - For colistin and aminoglycosides (amikacin, gentamicin and tobramycin), assume administration in combination with other agents. - Breakpoints currently based on ECOFF values can be used for interpretation of results, when applicable, if no other relevant EUCAST clinical breakpoints exist.
<i>Staphylococcus aureus</i>	<ul style="list-style-type: none"> - Oxacillin and ceftiofloxacin should both be tested. - Oxacillin, ceftiofloxacin and norfloxacin results should all be reported, regardless of their EUCAST status as 'screen only'. - All fluoroquinolone antimicrobials (ciprofloxacin, levofloxacin and norfloxacin) should be tested, regardless of results obtained for norfloxacin.
<i>Streptococcus pneumoniae</i>	<ul style="list-style-type: none"> - Oxacillin and norfloxacin results should be reported, regardless of their EUCAST status as 'screen only'. - All β-lactam antimicrobials (penicillin, oxacillin, cefotaxime and ceftriaxone) should be tested, regardless of results obtained for penicillin and oxacillin. - All macrolide antimicrobials (azithromycin, clarithromycin and erythromycin) should be tested, regardless of results obtained for erythromycin. - All fluoroquinolone antimicrobials (levofloxacin, moxifloxacin and norfloxacin) should be tested, regardless of results obtained for norfloxacin.

6 REPORTING OF RESULTS

AST results can be reported for all organism-antimicrobial combinations included in this EARS-Net EQA exercise (see section 5 and Annex 1). To report results, we recommend that you follow these sequential steps:

1. Carefully read the description in Section 7 below;
2. First write your results on the test forms that you can download from the website (<https://antimicrobialresistance.dk/ears-net-eqa.aspx>).
3. Transfer your results from the test forms into the webtool (see Section 7). The webtool allows you to view and print a report that contains your reported AST results. **Results must be submitted in the web tool no later than 4 August 2023.**

7 HOW TO SUBMIT RESULTS VIA THE WEBTOOL

7.1 Login to the webtool

All email addresses registered by the NEC will receive an email from earsnet-eqa@food.dtu.dk containing a link to the webtool, a webtool username, and a description of how to create a webtool password. Each laboratory can have more than one registered email address.

Contacts that participated in the 2022 EARS-Net EQA will receive the same username that was provided in 2022.

Users wishing to reset the webtool password should consult the document 'User guide to reset the EARS-Net EQA webtool password' available on the EARS-Net EQA website (<https://antimicrobialresistance.dk/ears-net-eqa.aspx>).

7.2 Submitting results in the webtool

The '2023 EARS-Net EQA Webtool guide' is available for download directly from the EARS-Net EQA website (<https://antimicrobialresistance.dk/ears-net-eqa.aspx>). Please follow the guide carefully.

Final submission must be performed for each strain individually. Before finally submitting your input for each of the strains, please ensure that you have filled in all the relevant fields as **you can only submit once per strain. Clicking on the button 'Final submit' blocks further data entry.**

8 EVALUATION OF SUBMITTED EQA RESULTS

8.1 Scoring system

The evaluation of AST results in the 2023 EARS-Net EQA follows the same scoring system as in the 2022 EARS-Net EQA, to allow comparisons between years. Only the species identification and the interpretation of categorical AST results (S/I/R) are evaluated. Quantitative AST results are only considered as supporting information.

The scoring assesses the 'level of difficulty' and the 'severity of error'. The 'level of difficulty' indicates the magnitude of the risk of getting the categorisation wrong and consists of two levels: easy and difficult. The level was considered easy if the expected MIC value was two or more dilutions away from the EUCAST clinical breakpoint, outside the area of technical uncertainty (ATU), and not recently added or changed in EUCAST breakpoint tables. Otherwise, the level was considered difficult. The 'severity of error' is divided into three levels: very major error (VME), major error (ME) and no error.

Table 2 shows the 2023 EARS-Net EQA scoring system. It is the same as applied in the 2022 EQA, with one exception. If an organism-antimicrobial combination described in Annex 1 is not reported in the webtool, the missing result will not generate a negative score. Rather, the combination will be visible in the laboratory's individual evaluation report marked with a dash ("-"), to indicate that no result was submitted.

The scoring system does not rank or group organism-antimicrobial combinations by their level of importance to clinical practice. This is because there are no definitive criteria for ranking or grouping that are appropriate and applicable to all participating countries. Such analyses can be performed (sub-)nationally using the database of national results.

Table 2. Scoring system of the 2023 EARS-Net EQA exercise

		Difficulty of result and expected interpretation					
		Easy			Difficult		
		R	I	S	R	I	S
Obtained interpretation	R	1	-3 (ME)	-3 (ME)	4	0 (ME)	0 (ME)
	I	-4 (VME)	1	-1	-1 (VME)	4	2
	S	-4 (VME)	-1	1	-1 (VME)	2	4
	Not reported	-	-	-	-	-	-

Legend: R: resistant; I: susceptible, increased exposure; S: susceptible, standard dosing regimen; ME: major error; VME: very major error; - : no data.

8.2 Laboratory feedback reports

Before mid-December 2023, participating laboratories will receive an email stating the password-protected webtool contains a laboratory-level evaluation report for download. The reports contain scores for every organism-antimicrobial combination that can be reported.

Upon receipt of the evaluation report, laboratories are recommended to review the score for each organism-antimicrobial combination individually. We encourage all participating laboratories to perform a self-evaluation regarding the accuracy, adequacy and reliability of the AST methods and procedures used, assessing if there is need for corrective actions.

Laboratory feedback reports do not provide the total score (i.e. the sum of scores for every organism-antimicrobial combination listed in Annex 1). This is because total scores will only be relevant to the small subset of participating laboratories that are expected to provide, as standard practice, AST for all of the organism-antimicrobial combinations in Annex 1.

8.3 Data sharing

Participating laboratories will receive data for their laboratory in the laboratory feedback reports.

National EQA Coordinators will receive the laboratory feedback reports, a national-level report, and EQA results for all participating laboratories in their country that includes the identity of each laboratory.

ECDC will receive the anonymised national-level reports, and EQA results for all participating laboratories, without any laboratory identifiers, except for an anonymised laboratory code and the country name. ECDC will publish an annual report summarising 2023 EARS-Net EQA results from all participating laboratories will be made publicly available in 2024.

9 CONTACT

Please do not hesitate to contact the EARS-Net EQA management team by e-mail earsnet-ega@food.dtu.dk. In your communication with the EARS-Net EQA management team, please write in English. If your laboratory is encountering an issue entering results, or accessing the webtool, please provide a description of the issue sufficient to ensure efficient follow-up.

Birgitte Helwich, PhD, MSc
EARS-Net EQA Coordinator
earsnet-ega@food.dtu.dk

ANNEX 1 - Antimicrobials included in the 2023 EARS-Net EQA

Adapted from Table 8 of the EARS-Net reporting protocol 2022: 'Microorganism and antimicrobial agent combinations under surveillance by EARS-Net (isolates from blood and/or cerebrospinal fluid)' (Available at: <https://www.ecdc.europa.eu/en/publications-data/ears-net-reporting-protocol-2023>). As indicated in the text preceding that table, "When, according to the EUCAST guidelines, a specific type of test is to be used, the method is indicated next to the antimicrobial."

Testing of norfloxacin for *Escherichia coli* and *Klebsiella pneumoniae* isolates are included in the original table, but are not part of the 2023 EARS-Net EQA exercise because the breakpoints in EUCAST Clinical Breakpoints v13.0 are only applicable to uncomplicated urinary tract.

Microorganism	Antimicrobial agent
<i>Acinetobacter</i> species (ACISPP)	Gentamicin (GEN) Tobramycin (TOB) Amikacin (AMK) Ciprofloxacin (CIP) Levofloxacin (LVX) Imipenem (IPM) Meropenem (MEM) Colistin (COL) - Broth microdilution
<i>Enterococcus faecalis</i> (ENCFAE)	Ampicillin (AMP) Amoxicillin (AMX) – MIC test Gentamicin-High (GEH) Vancomycin (VAN) Teicoplanin (TEC) Linezolid (LNZ)
<i>Enterococcus faecium</i> (ENCFAI)	Ampicillin (AMP) Amoxicillin (AMX) – MIC test Gentamicin-High (GEH) Vancomycin (VAN) Teicoplanin (TEC) Linezolid (LNZ)
<i>Escherichia coli</i> (ESCCOL)	Ampicillin (AMP) Amoxicillin (AMX) – MIC test Amoxicillin-clavulanic acid (AMC) Piperacillin-tazobactam (TZP)

Microorganism	Antimicrobial agent
	Cefotaxime (CTX) Ceftazidime (CAZ) Ceftriaxone (CRO) Cefepime (FEP) Gentamicin (GEN) Tobramycin (TOB) Amikacin (AMK) Ciprofloxacin (CIP) Levofloxacin (LVX) Ofloxacin (OFX) Moxifloxacin (MFX) Imipenem (IPM) Meropenem (MEM) Ertapenem (ETP) Tigecycline (TGC) Colistin (COL) - Broth microdilution
<i>Klebsiella pneumoniae</i> (KLEPNE)	Amoxicillin-clavulanic acid (AMC) Piperacillin-tazobactam (TZP) Cefotaxime (CTX) Ceftazidime (CAZ) Ceftriaxone (CRO) Cefepime (FEP) Gentamicin (GEN) Tobramycin (TOB) Amikacin (AMK) Ciprofloxacin (CIP) Levofloxacin (LVX) Ofloxacin (OFX) Moxifloxacin (MFX) Imipenem (IPM) Meropenem (MEM) Ertapenem (ETP) Colistin (COL) - Broth microdilution
<i>Pseudomonas aeruginosa</i> (PSEAER)	Piperacillin/Tazobactam (TZP) Piperacillin (PIP) Ceftazidime (CAZ) Cefepime (FEP) Tobramycin (TOB)

Microorganism	Antimicrobial agent
	Amikacin (AMK) Ciprofloxacin (CIP) Levofloxacin (LVX) Imipenem (IPM) Meropenem (MEM) Colistin (COL) - Broth microdilution
<i>Staphylococcus aureus</i> (STAAUR)	Cefoxitin (FOX) – Disk diffusion Oxacillin (OXA)* – MIC test Levofloxacin (LVX) Ciprofloxacin (CIP) Norfloxacin (NOR) – Disk diffusion Vancomycin (VAN) – MIC test Rifampin (RIF) Linezolid (LNZ) Daptomycin (DAP) – MIC test
<i>Streptococcus pneumoniae</i> (STRPNE)	Oxacillin (OXA) – Disk diffusion Penicillin (PEN) – MIC test Clarithromycin (CLR) – MIC test Erythromycin (ERY) Azithromycin (AZM) – MIC test Levofloxacin (LVX) Moxifloxacin (MFX) Norfloxacin (NOR) – Disk diffusion Cefotaxime (CTX) – MIC test Ceftriaxone (CRO) – MIC test